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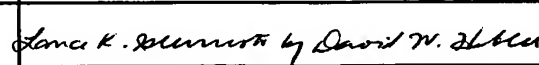
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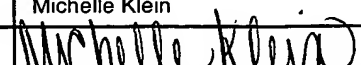
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|--|-----------------------------|-------------------------------|--------------|
| <br><b>TRANSMITTAL FORM</b><br><small>be used for correspondence after initial filing</small> | <b>Application Number</b>   | 10/090,516                    |              |
|  | <b>Filing Date</b>          | Mar 1, 2002                   |              |
|  | <b>First Named Inventor</b> | Yu, Xuanchuan                 |              |
|  | <b>Group Art Unit</b>       | 1645                          |              |
|  | <b>Examiner Name</b>        | Not Yet Assigned              |              |
| <b>Total Number of Pages in This Submission</b>  | 8                           | <b>Attorney Docket Number</b> | LEX-0316-USA |

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| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
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| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | <b>Remarks</b>  | <b>References cited in 2 binders</b>   |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| <b>Firm or Individual name</b>             | Lexicon Genetics Incorporated<br>Lance K. Ishimoto Reg. No. 41,866   |
| <b>Signature</b>                           | <br>DAVID W. HUBER<br>Reg. No. 41,071 |
| <b>Date</b>                                | May 30, 2002   |

  
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| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Arlington, VA 22202 on this date: <span style="border: 1px solid black; padding: 2px;">May 30, 2002</span> |   |
| <b>Typed or printed name</b>   | Michelle Klein  |
| <b>Signature</b>   |  |
| <b>Date</b>  | May 30, 2002  |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yu and Miranda

Application No.: 10/090,516

Filed: March 1, 2002

Group Art Unit: 1645

Title: Human TEN-M4/cdz Proteins and  
Polynucleotides Encoding the Same

Examiner: Not Yet Assigned

Attorney Docket No.: LEX-0316-USA

Assistant Commissioner for Patents  
Arlington, VA 22202  
Box DD

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
- ☐ Certification under 37 CFR 1.97(e), or
  - ☐ a \$240.00 fee under 37 CFR 1.17(p), or
- (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
- ☐ Certification under 37 CFR 1.97(e), and
  - ☐ a petition under 37 CFR 1.97(d)(2)(ii), and
  - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
- (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.



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Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

The Commissioner is hereby authorized to charge any additional fee required or credit any overpayment for this Information Disclosure Statement to Lexicon Genetics Deposit Account No. 50-0892. A copy of this sheet is enclosed.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

May 30, 2002  
Date

Lance K. Ishimoto by David W. Hebler  
Lance K. Ishimoto

Reg. No. 41,071

LEXICON GENETICS INCORPORATED  
(281) 863-3333



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